<u>PATENT</u>

Docket No.: 16869P-041700US Client Ref. No.: 210100219US2



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

RECEIVED

In re application of:

MAY 0 7 2004

Mineo NOMOTO, et al.

Examiner: Unassigned

TC 1700

Application No.: 10/082,430

Art Unit:

Unassigned

Filed: February 22, 2002

For: Method and Apparatus for

Measuring Thickness of Thin Film and Device Manufacturing Method Using

Same

Request to Withdraw Holding of Abandonment

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Counsel for Assignee is in receipt of the Notice of Abandonment Under 37 CFR 1.53(f) or (g) for failure to respond to the Notice to File Missing Parts dated August 1, 2002. A review of the file indicates that a timely response was in fact filed with the U.S. Patent Office via first class mail and received by the Patent Office Mailroom on July 1, 2002. A copy of the return receipt postcard showing date of receipt by the Patent Office is attached hereto.

Since it appears that the response was received by the Patent Office, counsel for Assignee respectfully requests that the Office withdraw its holding of abandonment and reinstate the application for further prosecution. A courtesy copy of the response as filed on July 1, 2002, is also enclosed.

Because this application was abandoned as a result of an error in the U.S. Patent Office, counsel believes that no fee is required for this request. If, however, it is determined that a fee is appropriate, please charge such fee to Deposit Account 20-1430. A copy of this sheet is provided for such purpose.

Mineo NOMOTO, et al. Application No.: 10/082,430

Page 2

Respectfully submitted, ber Cerull

Robert C. Colwell Reg. No. 27,431

TOWNSEND and TOWNSEND and CREW LLP

Two Embarcadero Center, Eighth Floor San Francisco, California 94111-3834 Tel: (650) 326-2400 Fax: (415) 576-0300 RCC:mks

Copy postcard Enclosures:

Copy complete Response

60202131 v1

	on u	•							PTO/SB/2	I (08-03)
7 . [<u> </u>	Applic	ation Number	10/	082,43	30	<u></u>	
1	TRAI	NSMITTAL		Filing	Date	Feb	oruary	22, 2002		
ū	inie	FORM		First N	amed Inventor	Noi	moto,	Mineo	REC	EIVED
	(to be used for all correspondence after initial filing)			Art Un	it				MAY	0.7.2004
				Exami	ner Name					- / 2004
	Total Number of Page Submission	Total Number of Pages in This Submission			ey Docket Number	168	16869P-041700US TC 170			1700
Ì			ENC	LOSURE	OSURES (Check all that apply)					
١	Fee Transmittal	Form	Drawin	g(s)		After Allowance Communication to 0			to Group	
	Fee Attach	ed	Licens	ing-relate	d Papers	Appeal Communication to Board of Appeals and Interferences			of Appeals	
	Amendment/Rep	oly	Petition		Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)			(Appeal		
	After Final		Petition to Convert to a Provisional Application		Proprietary Information					
	Affidavits/d				Power of Attorney, Revocation Change of Correspondence Address		☐ Status Letter			
	Extension of Time Request			nal Disclaimer		Other Enclosure(s) (please identify below):				
	Express Abandonment Request			Request for Refund		Request to Withdraw Holding of Abandonment				
		·	☐ CD, N	, Number of CD(s)		Copy Notice of Abandonment Copy of Return Postcard Copy of Response				
	Information Disc	losure Statement								
-							5. Return Postcard			
	Certified Copy of Document(s)	Certified Copy of Priority Document(s) Rema			The Commissioner is authorized to charge any additional fee Account 20-1430.			ditional fees	to Deposit	
	Response to Missing Parts/ Incomplete Application									
		to Missing Parts FR 1.52 or 1.53								
١	SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT									
	Firm Townsend and Crew LLP									
	or Individual Robert C. Colwell				Reg. N	lo. 27	,431			
	Signature	1 lor C	<u></u>	-						
	Date	April 26, 2004								
,	CERTIFICATE OF TRANSMISSION/MAILING									
ľ	I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.									
ľ	Typed or printed name Margaret K. Stephan									
ŀ	Signature					Da	ite	April 26, 200	04	

60202764 v1



United States Patent and Trademark Office



UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

APPLICATION NUMBER

FILING OR 371(C) DATE

FIRST NAMED APPLICANT

ATTY. DOCKET NO./TITLE

10/082,430

02/22/2002

Mineo Nomoto

16869P-041700US

CONFIRMATION NO. 6313
ABANDONMENT/TERMINATION

LETTER

OC00000011665746

20350

TOWNSEND AND TOWNSEND AND CREW, LLP

TWO EMBARCADERO CENTER

EIGHTH FLOOR

SAN FRANCISCO, CA 94111-3834

APR 2 8 2004 R.

Date Mailed: 01/12/2004

NOTICE OF ABANDONMENT UNDER 37 CFR 1.53 (f) OR (g)

The above-identified application is abandoned for failure to timely or properly reply to the Notice to File Parts (Notice) mailed on 08/01/2002.

· No reply was received.

MAY 0 7 2004

TC 1700

A petition to the Commissioner under 37 CFR 1.137 may be filed requesting that the application be revived.

Under 37 CFR 1.137(a), a petition requesting the application be revived on the grounds of **UNAVOIDABLE DELAY** must be filed promptly after the applicant becomes aware of the abandonment and such petition must be accompanied by: (1) an adequate showing of the cause of unavoidable delay; (2) the required reply to the above-identified Notice; (3) the petition fee set forth in 37 CFR 1.17(I); and (4) a terminal disclaimer if required by 37 CFR 1.137(d).

Under 37 CFR 1.137(b), a petition requesting the application be revived on the grounds of **UNINTENTIONAL DELAY** must be filed promptly after applicant becomes aware of the abandonment and such petition must be accompanied by: (1) a statement that the entire delay was unintentional; (2) the required reply to the above-identified Notice; (3) the petition fee set forth in 37 CFR 1.17(m); and (4) a terminal disclaimer if required by 37 CFR 1.137(d).

Any questions concerning petitions to revive should be directed to the "Office of Petitions" at (703) 305-9282. Petitions should be mailed to: Mail Stop Petitions, Commissioner for Patents, P.O. Box 1450, Alexandria VA 22313-1450.

A copy of this notice <u>MUST</u> be returned with the reply.

Customer Service Center

Initial Patern Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



COPY

RECEIVED
MAY 0 7 2004
TC 1700

TO THE U.S. PATENT AND TRADEMARK OFFICE:

Please stamp the date of receipt of the following documents are found this card to us.

PA 3231626 wt

Title of

Transmittal; 2 Fee Transmittal; 2 Petition for Extension of Time; Supplemental ADS; Declaration/Power of Attorney; copy Notice; 2 Recordation Form Cover Sheet; Assignment

Date Due:

May 22, 2002

Appin. No.:

10/082,430

Mailed:

June 24, 2002

16869P-041700

Atty/Sec.:

RCC:mks



JUL 21 2002

Approved for y U.S. Patent and Trademark Office

PTO/SB/22 (10-00) rough 10/31/2002. OMB 0651-0031 S. DEPARTMENT OF COMMERCE

OIPE		IME UNDER 37 C		16869P-041700US	
	(g)	In re Application of			2002
287	APR 2 8 2004 E		er 10/082,430	Filed February 22	2, 2002
APR 201		THICKNESS	ND APPARATUS S OF THIN FILM A FURING METHOD		RECEI
RADE	i i	Group Art Unit	Examiner		MAY 0
This is a request of reply in the above	under the provision identified applica				TC 17
The requested ex (check time period		priate non-small-en	itity fee are as folio	ows .	
☑ Or	ne month (37 CFR	1.17(a)(1))		\$110). [
. 🔲 Tw	o months (37 CFI	R 1.17(a)(2))		\$	
Th	ree months (37 C	FR 1.17(a)(3))		\$	·
□ F	our months (37 CF	R 1.17(a)(4))		\$	
☐ Fi	ve months (37 CF	R 1.17(a)(5))		\$	
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Burden Hour Statement: This form is estimated to take 0.1 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.
PA 3231621 v1

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below.



Application Data Sheet

RECEIVED MAY 0 7 2004 TC 1700

Application Information

Application number::

10/082,430

Filing Date::

02/22/02

Application Type::

Regular

Subject Matter::

Utility

Suggested classification::

Suggested Group Art Unit::

CD-ROM or CD-R??::

Number of CD disks::

Number of copies of CDs::

Sequence Submission::

Computer Readable Form (CRF)?::

Number of copies of CRF::

Method and Apparatus for Measuring Title::

Thickness of Thin Film and Device

Manufacturing Method Using Same

Attorney Docket Number::

16869P-041700US

Request for Early Publication::

No

Request for Non-Publication::

No

Suggested Drawing Figure::

Total Drawing Sheets::

15

Small Entity?::

No

Latin name::

Variety denomination name::

Petition included?::

No

Petition Type::

Licensed US Govt. Agency::

Contract or Grant Numbers One::

Secrecy Order in Parent Appl.::

No

Applicant Information

Name Suffix::

Applicant Authority Type::

Primary Citizenship Country:: Japan

Status:: Full Capacity

Given Name:: Mineo

Middle Name::

Family Name:: Nomoto

City of Residence:: Tekye <u>Yokohama</u>

State or Province of Residence::

Country of Residence:: Japan

Street of Mailing Address:: c/o Hitachi, Ltd.

Postal Address Line Two:: Intellectual Property Group

Inventor

Postal Address Line Three:: New Marunouchi Bldg.

Postal Address Line Four:: 5-1, Marunouchi 1-chome

Postal Address Line Five:: Chiyoda-ku

City of Mailing Address:: Tokyo

State or Province of mailing address::

Country of mailing address:: Japan

Postal or Zip Code of mailing address:: 100-8220

Applicant Authority Type:: Inventor

Primary Citizenship Country:: Japan

Status:: Full Capacity

Given Name:: Takenori

Middle Name::

Family Name:: Hirose

Name Suffix::

City of Residence:: Tokyo Machida

State or Province of Residence::

Country of Residence:: Japan

Street of Mailing Address:: c/o Hitachi, Ltd.

Page 2 Supplemental 10/082,430 2/22/02 6/24/02

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Intellectual Property Group

Postal Address Line Three::

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Postal Address Line Four::

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Postal Address Line Five::

Chiyoda-ku

City of Mailing Address::

Tokyo

State or Province of mailing address::

Country of mailing address::

Japan

Postal or Zip Code of mailing address::

100-8220

Applicant Authority Type::

Inventor

Primary Citizenship Country::

Japan

Status::

Full Capacity

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Middle Name::

Family Name::

Saito

Name Suffix::

City of Residence::

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State or Province of Residence::

Country of Residence::

Japan

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c/o Hitachi, Ltd.

Postal Address Line Two::

Intellectual Property Group

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Chiyoda-ku

City of Mailing Address::

Tokyo

State or Province of mailing address::

Country of mailing address::

Japan

Postal or Zip Code of mailing address::

100-8220

Correspondence Information

Correspondence Customer Number::

20350

Page 3

Supplemental 10/082,430 2/22/02 6/24/02

Representative Information

Representative Designation::

Representative Number::

Representative Name::

Primary

27,431

Robert C. Colwell

Domestic Priority Information

Application::

Continuity Type::

Parent Application:: Parent Filing Date::

Foreign Priority Information

Country::

Application number::

Filing Date::

Japan

2001-226984

07/27/01

Assignee Information

Assignee Name::

Hitachi, Ltd.

Street of mailing address::

6, Kanda Surugadai 4-chome, Chiyoda-ku

City of mailing address::

Tokyo

State or Province of mailing address::

Country of mailing address::

<u>Japan</u>

Postal or Zip Code of mailing address::



PTO/SB/106(8-96)

Approved for use through 9/30/98. OMB 0651-0032
Patent and Trademark Office; U.S. DEPARTMETNT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

Declaration and Power of Attorney For Patent Application

特許出願宣言書及び委任状

RECEIVED

Japanese Language Declaration

MAY 0 7 2004

日本語宜言書

TC 1700

下記の氏名の発明者として、私は以下の通り宜言します。	As a below named inventor, I hereby declare that:
私の住所、私書箱、国籍は下配の私の氏名の後に記載され た通りです。	My residence, post office address and citizenship are as stated next to my name.
下記の名称の発明に関して請求範囲に記載され、特許出願 している発明内容について、私が最初かつ唯一の発明者(下 記の氏名が一つの場合)もしくは最初かつ共同発明者である と(下記の名称が複数の場合)信じています。	I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled
	METHOD AND APPARATUS FOR MEASURING THICKNESS OF THIN
	FILM AND DEVICE MANUFACTURING METHOD USING SAME
上記発明の明細書(下記の欄で×印がついていない場合は、 本書に添付)は、	The specification of which is attached hereto unless the following box is checked:
	was filed on as United States Application Number or PCT International Application Number and was amended on (if applicable).
私は、特許請求範囲を含む上記訂正後の明細書を検討し、 内容を理解していることをここに表明します。	I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.
私は、連邦規則法典第37編第1条56項に定義されると おり、特許資格の有無について重要な情報を開示する義務が あることを認めます。	I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, Section 1.56.
	· · · · · · · · · · · · · · · · · · ·

I hereby claim foreign priority under Title 35, United States Code, Section 119 (a)-(d) or 365(b) of any foreign application(s) for

patent or inventor's certificate, or 365(a) of any PCT international

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

Japanese Language Declaration (日本語宣言書)

私は、米国法典第35編119条 (a)-(d) 項又は365条 (b) 項に基き下配の、 米国以外の国の少なくとも一カ国を指 定している特許協力条約365 (a) 項に基ずく国際出願、又

は外国での特許出願もしくは発明者証の出願についての外国 application which designated at least one country other than the United States, listed below and have also identified below. by 優先権をここに主張するとともに、優先権を主張している、 checking the box, any foreign application for patent or inventor's 本出願の前に出願された特許または発明者証の外国出願を以 下に、枠内をマークすることで、示している。 certificate, or PCT International application having a filing date before that of the application on which priority is claimed. **Priority Not Claimed** Prior Foreign Application(s) 優先権主張なし 外国での先行出願 27/July/2001 Japan 2001-226984 (Day/Month/Year Filed) (Number) (Country) (出願年月日) (番号) (国名) (Day/Month/Year Filed) (Number) (Country) (番号) (国名) (出願年月日) I hereby claim the benefit under Title 35, United States Code, 私は、第35編米国法典119条 (e) 項に基いて下記の米 国特許出願規定に記載された権利をここに主張いたします。 Section 119(e) of any United States provisional application(s) listed below. (Filing Date) (Application No.) (Application No.) (Filing Date) (出願番号) (出願日) (出願番号) (出願日) 私は、下記の米国法典第35編120条に基いて下記の米 I hereby claim the benefit under Title 35, United States Code, Section 120 of any United States application(s), or 365(c) of 国特許出願に記載された権利、又は米国を指定している特許 協力条約365条 (c) に基ずく権利をここに主張します。ま any PCT international application designating the United た、本出願の各請求範囲の内容が米国法典第35編112条 States, listed below and, insofar as the subject matter of each 第1項又は特許協力条約で規定された方法で先行する米国特 of the claims of this application is not disclosed in the prior United States or PCT International application in the manner 許出願に開示されていない限り、その先行米国出願書提出日 以降で本出願書の日本国内または特許協力条約国際提出日ま provided by the first paragraph of Title 35, United States Code Section 112, I acknowledge the duty to disclose information での期間中に入手された、連邦規則法典第37編1条56項 which is material to patentability as defined in Title 37, Code of で定義された特許資格の有無に関する重要な情報について開 Federal Regulations, Section 1.56 which became available 示義務があることを認識しています。 between the filing date of the prior application and the national or PCT international filing date of application. (Status: Patented, Pending, Abandoned) (Filing Date) (Application No.) (現況:特許許可済、係属中、放棄済) (出願番号) (出願日) (Application No.) (Filing Date) (Status: Patented, Pending, Abandoned) (現況:特許許可済、係属中、放棄済) (出願番号) (出願日)

私は、私自身の知識に基ずいて本宜言書中で私が行なう表 明が真実であり、かつ私の入手した情報と私の信じるところ に基ずく表明が全て真実であると信じていること、さらに故 意になされた虚偽の表明及びそれと同等の行為は米国法典第 18編第1001条に基ずき、罰金または拘禁、もしくはそ の両方により処罰されること、そしてそのような故意による 虚偽の声明を行なえば、出願した、又は既に許可された特許 の有効性が失われることを認識し、よってここに上記のごと く宜響を致します。

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

PTO/SB/106(8-96) (Modulated spacing)
Approved for use through 9/30/98. OMB 0651-0032
Patent and Trademark Office; U.S. DEPARTMETNT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

Japanese Language Declaration (日本語宣言書)

委任状: 私は下記の発明者として、本出願に関する一切の 手続きを米特許商標局に対して遂行する弁理士または代理人 として、下記の者を指名いたします。(弁護士、または代理 人の氏名及び登録番号を明記のこと)

POWER OF ATTORNEY: As a named inventor, I hereby

appoint the following attorney(s) and/or agent(s) to prosecute this application and transact all business in the Patent and Trademark Office connected therewith (list name and registration number)

Robert C. Colwell, Reg. No. 27,431; Babak S. Sani, Reg. No. 37,495; Chun-Pok Leung, Reg. No. 41,405; George B. F. Yee, Reg. No. 37,478 and Barmak Sani, Reg. No. 45,068

書類送付先

Send Correspondence to:

Robert C. Colwell

TOWNSEND and TOWNSEND and CREW LLP

Two Embarcadero Center, 8th Floor San Francisco, California 94111-3834

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Direct Telephone Calls to: (name and telephone number)

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(Supply similar information and signature for second and subsequent joint inventors.)

(Supply similar information and signature for sixth and

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オフエフストリング・グリカコ	i di name or marjoint inventor, il diff
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subsequent joint inventors.)

(第六以降の共同発明者についても同様に記載し、署名をす

Date

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Takenori Hirose Keiya Saito

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- Additional application number(s) or patent number(s): (Continued from Page 1)
 - A. Patent Application No.(s)

B. Patent No.(s)

PA 3231622 vl

ASSIGNMENT

(譲渡証)

As a below named inventor, I hereby declare that:

IN CONSIDERATION of the sum of One Dollar (\$1.00) or the equivalent thereof, and other good and valuable consideration paid to me citizen of Japan by HITACHI, LTD., a corporation organized under the laws of Japan, located at 6, Kanda Surugadai 4-chome, Chiyoda-ku, Tokyo, Japan, receipt of which is hereby acknowledged I do hereby sell and assign to said HITACHI, LTD., its successors and assigns, all my right, title and interest, in and for the United States of America, in and to

METHOD AND APPARATUS FOR MEASURING THICKNESS OF THIN FILM AND DEVICE MANUFACTURING METHOD USING SAME

invented by me (if only one is named below) or us (if plural inventors are named below) and described in the application for United States Letters Patent therefor, executed on even date herewith, and all United States Letters Patent which may be granted therefor, and all divisions, continuations and extensions thereof, the said interest being the entire ownership of the said Letters Patent when granted, to be held and enjoyed by said HITACHI, LTD., its successors, assigns or other legal representatives, to the full end of term for which said Letters Patent may be granted, as fully

and entirely as the same would have been held and enjoyed by me or us if this assignment and sale had not been made;

And I hereby agree to sign and execute any further documents or instruments which may be necessary, lawful, and proper in the prosecution of the above-named application or in the preparation and prosecution of any continuing, continuation-in-part, substitute, divisional, renewal, reviewed or reissue applications or in any amendment, extension, or interference proceedings, or otherwise to secure the title thereto in said assignee;

And I do hereby authorize and request the Commissioner of Patents to issue said Letters Patent to said HITACHI, LTD.

Signed on the date(s) indicated aside signatures:

INVENTOR(S) (発明者フルネームサイン	·)	Date Signed (署名日)				
1) Mineo nomoto		5/20/02				
2)Takenori Hirase	· .	\$/70/02				
3) <u>Keiya Seito</u>	·	5/20/02				
4)	· _		· · · · · · · · · · · · · · · · · · ·			
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